

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant: | Kendall, et al. | Group Art Unit: | Unknown |
| Assignee: | Lord Corporation | Examiner: | Unknown |
| Serial No.: | Unknown | Filed: | 9/9/2003 |
| For: | "Internally Blocked Organoborate Initiators and Adhesives Therefrom" | | |

September 9, 2003

Assistant Commissioner for Patents
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98**

Sir:

In accordance with the duty of disclosure under 37 CFR §2.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 CFR §1.97(e) or fee under 37 CFR §1.17(p) is required.

Applicant hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Miles B. Dearth
Attorney for Applicant
Registration No. 35, 115

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date indicated below with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Mail Stop Patent Application, PO Box 1450, Alexandria, VA 22313-1450, with sufficient postage as first class mail (37 CFR 1.8(a)).

Alida M. Clark

(Type or print name of person mailing paper)



(Signature of person mailing paper)

September 9, 2003

Date

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| Form PTO-1449 LIST OF RELATED ART CITED BY APPLICANT (Use several sheets if necessary) | | | | Atty. Docket No. IR-2869(EC)DIV2 | | Serial No. Unknown | |
| | | | | Inventor Kendall et al. | | | |
| | | | | Filing Date 9/9/2003 | | Group Unknown | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *Examiner Initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB | FILING DATE IF APPROPRIATE |
| | AA | 2,467,171 | 4/49 | Werner, et al. | | | |
| | AB | 2,615,007 | 10/52 | Greenlee | | | |
| | AC | 2,716,123 | 8/55 | Frostick, Jr., et al. | | | |
| | AD | 2,981,650 | 4/61 | Bader, et al. | | | |
| | AE | 3,030,336 | 4/62 | Greenspan, et al. | | | |
| | AF | 3,053,855 | 9/62 | Maerker, et al. | | | |
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| | AK | 3,873,640 | 3/75 | Owston, et al. | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB | <div style="display: flex; justify-content: space-between; font-size: 0.8em;"> TRANSLATION YES NO </div> |
| | AL | 1,113,722 | 5/68 | Great Britain | | | |
| | AM | 2,321,215 | | Great Britain | | | |
| | AN | WO 01/32716 | 5/01 | PCT | | | |
| | AO | WO 01/32717 | 5/01 | PCT | | | |
| | AP | | | | | | |
| OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | AR | Lieu, et al.: "Cyclic Hydroborate Complexes of Metallocenes IV" <u>Inorganic Chemistry</u> No. 38, 14 April 1999, pages 2169-2173 | | | | | |
| | AS | Bai, et al: "Reactions of Borinic Esters with (1,1-Dichloroalkyl)Lithium. A Facile Synthesis of Tertiary Carbinols" <u>Journal of Organometallic Chemistry</u> , vol. 367, No. 3, 1989, pgs c9-c11. | | | | | |
| | AT | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformation and not considered. Include copy of this form with next communication with applicant. | | | | | | | |

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| Form PTO-1449 | | | | Atty. Docket No. IR-2896(EC)DIV2 | | Serial No. Unknown | |
| LIST OF RELATED ART CITED BY APPLICANT (Use several sheets if necessary) | | | | Inventor Kendall et al. | | | |
| | | | | Filing Date 11/6/2001 | | Group Unknown | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *Examiner Initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB | FILING DATE IF APPROPRIATE |
| | AA | 4,081,308 | 3/78 | Skoultchi | | | |
| | AB | 4,223,115 | 9/80 | Zalucha, et al. | | | |
| | AC | 4,293,665 | 10/81 | Zalucha, et al. | | | |
| | AD | 4,331,795 | 5/82 | Ukita, et al. | | | |
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| | AJ | 5,143,884 | 9/92 | Skoultchi, et al. | | | |
| | AK | 5,310,835 | 5/94 | Skoultchi, et al. | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB | TRANSLATION YES NO |
| | AL | | | | | | |
| | AM | | | | | | |
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| | AP | | | | | | |
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| U.S. PATENT DOCUMENTS | | | | | | | |
| *Examiner Initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB | FILING DATE IF APPROPRIATE |
| | AA | 5,376,746 | 12/94 | Skoultchi | | | |
| | AB | 5,539,070 | 7/96 | Zharov, et al. | | | |
| | AC | 5,641,834 | 6/97 | Abbey, et al. | | | |
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| | AF | 6,210,863 | 4/01 | Cunningham, et al. | | | |
| | AG | 3,163,679 | 12/64 | Koster | | | |
| | AH | 4,525,309 | 6/85 | Matteson, et al. | | | |
| | AI | | | | | | |
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| | AK | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB | TRANSLATION YES NO |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AP | | | | | | |
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